



Board of Professional Responsibility

Quarterly Report

pursuant to

Tenn. Sup. Ct. R. 9 § 4.5(b)

2026	Jan. 1 st to Mar. 31 st	Apr. 1 st to Jun. 30 th	Jul. 1 st to Sep. 30 th	Oct. 1 st to Dec. 31 st
Complaints				
Pending at the beginning of the Quarter	37	27	41	
Received	620	533	629	
Administratively Dismissed ¹	193	179	174	
Transferred to Investigation	204	182	191	
Transferred to CAP	231	158	187	
Pending at the end of the Quarter (grievance review)	27	41	118(65)	
Requests for Assistance				
Pending at the beginning of the Quarter	2	1	2	
Received	424	487	521	
Resolved	425	484	511	
Transferred to Investigations	0	2	10	
Pending at the end of the Quarter	2	2	2	

¹ Since January 1, 2017, Administrative Dismissals include complaints dismissed due to Complainant's failure to provide supporting documentation.

Overdrafts

Pending at the beginning of the Quarter	1	4	3	
Received	22	9	21	
Dismissed	16	8	14	
Transferred to Investigation	3	2	6	
Pending Overdrafts at the end of the Quarter	4	3	4	

Investigation Files

Pending at the beginning of the Quarter	1492	1502	1438	
Opened/Received (from CAP)	234(26)	206 (21)	210(26)	
Dismissed	157	181	126	
Diversion	12	13	7	
Private Informal Admonitions	4	8	4	
Private Reprimands	12	4	5	
Public Censures	10	14	11	
Transferred to Litigation	26	39	16	
Closed: Other ²	8	15	12	
Pending at the end of the Quarter	1497	1434	1467	
Retired Status (Included in Pending)	895	897	898	

² Duplicates, Respondent went into disbarment, Opened in Error, Respondent found to be deceased during investigation

Litigation Cases

Pending at the beginning of the Quarter	184	170	169	
New Cases	43	32	19	
Dismissed	2	6	0	
Public Censure	2	0	1	
Disciplinary Suspension	5	3	6	
Disbarment	4	4	0	
Temporary Suspension Dissolved	4	2	0	
Disability Inactive	1	7	0	
Disability Removed	0	0	0	
Reinstatements	1	1	1	
Other ³	5	2	1	
Pending at the end of the Quarter	203	177	180	
Retired Status (Included in Pending)	~24	~36	~25	
Temporary Suspension Cases	7 Filed	4 Filed	4 filed	
Reciprocal Discipline Cases	1 Filed	1 filed	0 filed	
Total Open Appeals at the end of the Quarter	7	14	13	

(Revised 4/1/2019; 4/2/2025)

³ Abated by Death; Withdrawn; Nonsuited; Nonserious Crime Notice.